



# Nonconvex optimization and jamming

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# Sphere packing in 3D



Theorem (Hales, 1998–2015)

*Every nonoverlapping arrangement of spheres in  $\mathbb{R}^3$  fills at most  $\pi/\sqrt{18} = 0.7405$  of space.*

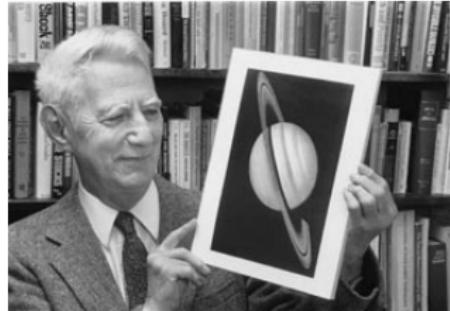
# Sphere packing in 3D and higher dimensions



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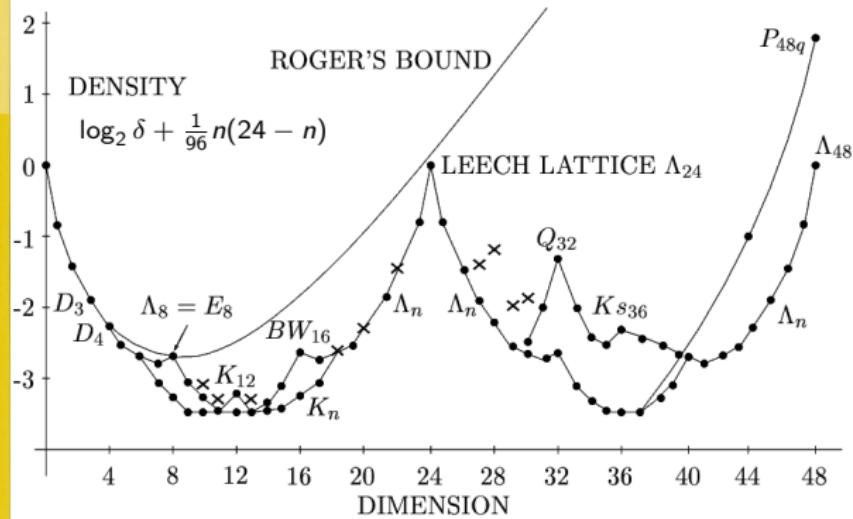
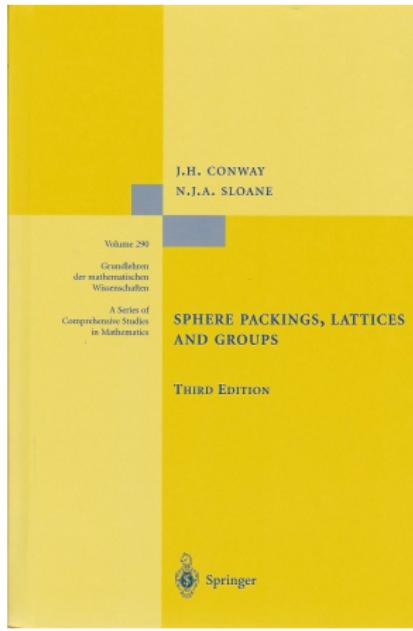
Applications in higher dimensions to transmitting, storing, and digitizing signals.



# Curse of dimensionality

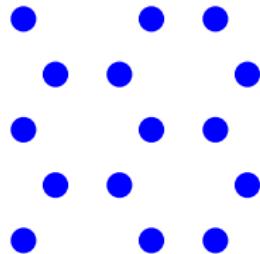
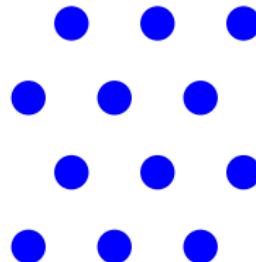
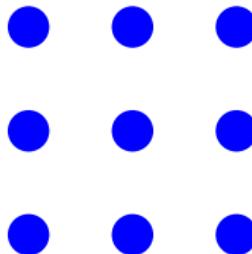
How to search for dense packings in high  $d$ ? dof  $\sim \exp(d)$ .

Solution: good packings are often lattices. dof  $\sim d^2$



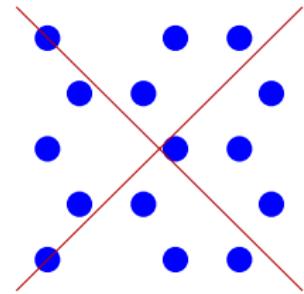
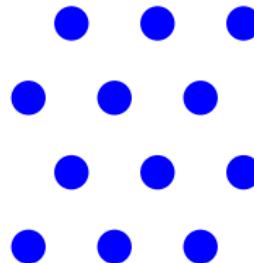
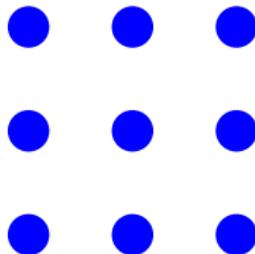
# What do I mean by a lattice

$$L = A\mathbb{Z}^n = \left\{ \sum_{i=1}^n m_i \mathbf{a}_i : m_i \in \mathbb{Z} \right\}$$



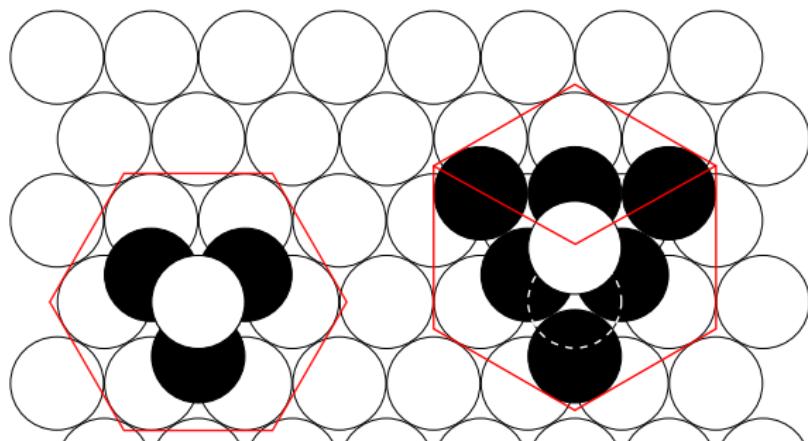
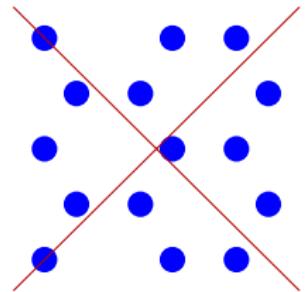
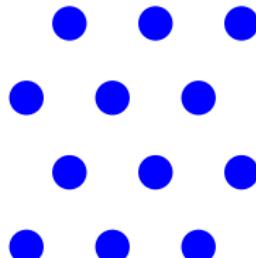
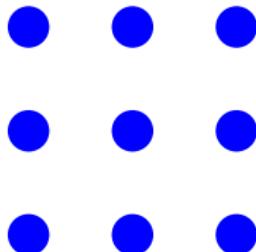
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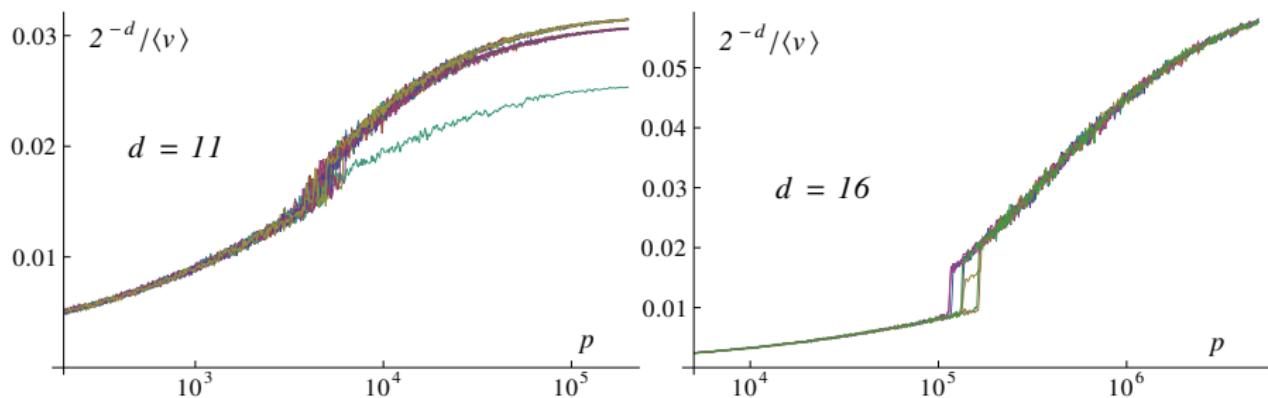
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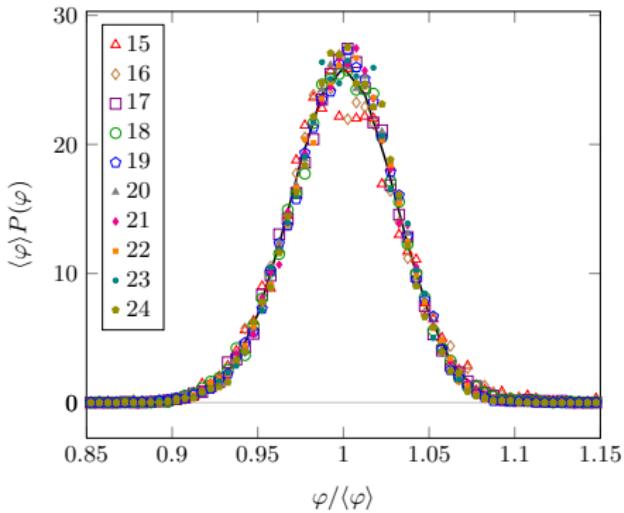
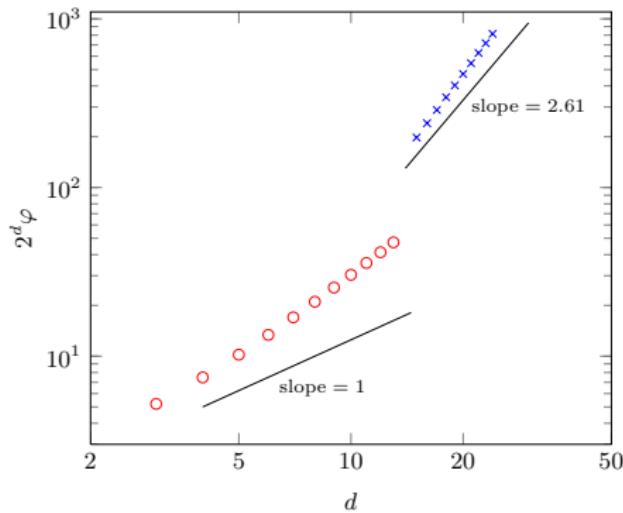
# Thermodynamics of hard-sphere lattices

MC sampling from the space of lattices with probability  
 $\sim \exp(-pv)$



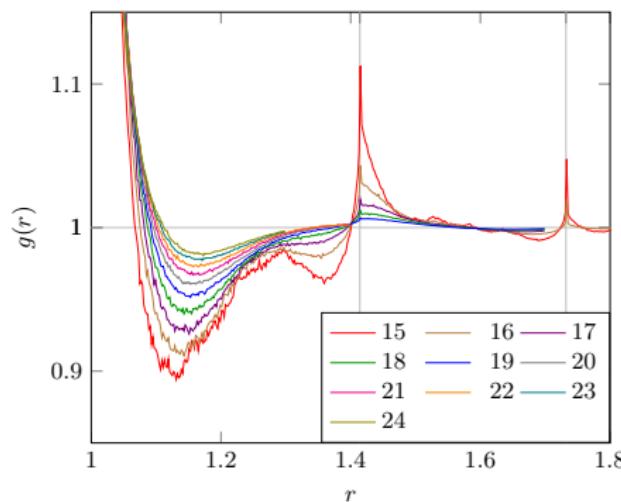
Kallus, Phys. Rev. E **87**, 063307 (2013)

# Lattice RCP

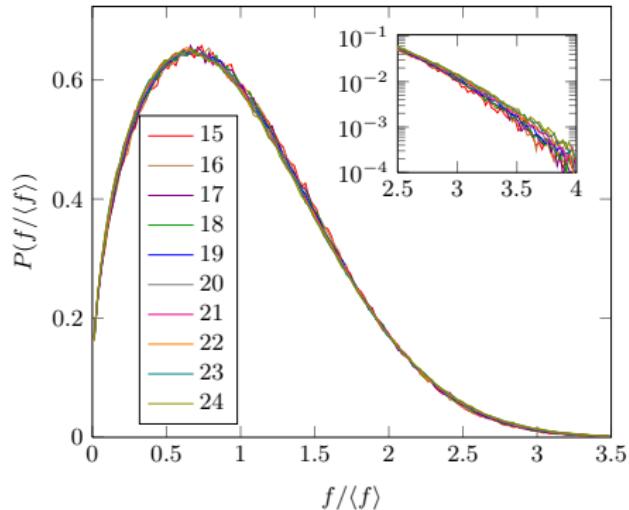


Kallus, Marcotte, & Torquato, Phys. Rev. E **88**, 062151 (2013)

# Pair correlations and force distribution



$$g(r) \sim (r - 1)^{-\gamma}$$
$$\gamma = 0.314 \pm 0.004$$

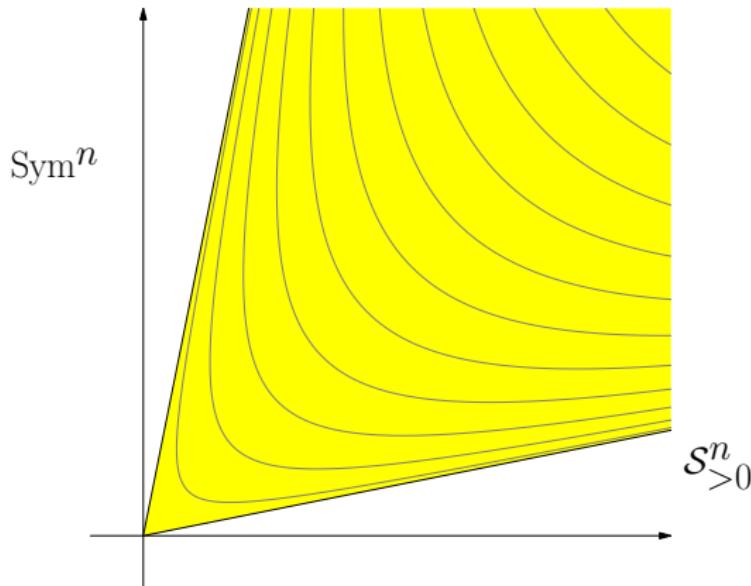


$$P(f) \sim f^\theta$$
$$\theta = 0.371 \pm 0.010$$

Kallus, Marcotte, & Torquato, Phys. Rev. E **88**, 062151 (2013)

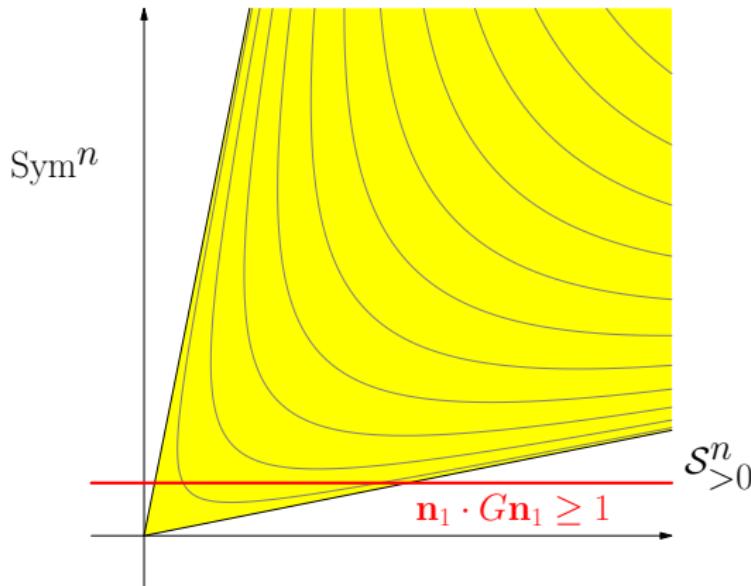
# Almost a linear program

Recall:  $L = A\mathbb{Z}^n$ , but we only care about the  $G = A^T A$ .  
We need  $\mathbf{n}^T G \mathbf{n} \geq 1$  for all  $\mathbf{n} \in \mathbb{Z}^n$ , and want to minimize  $\det G$  (concave).



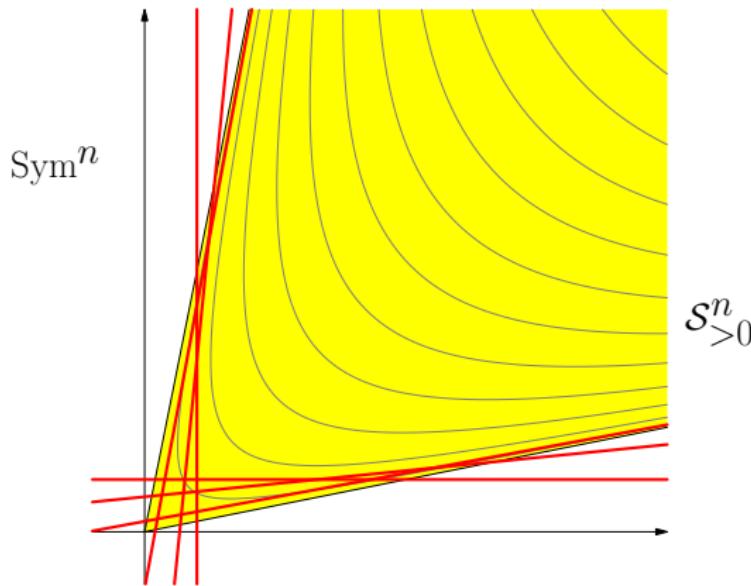
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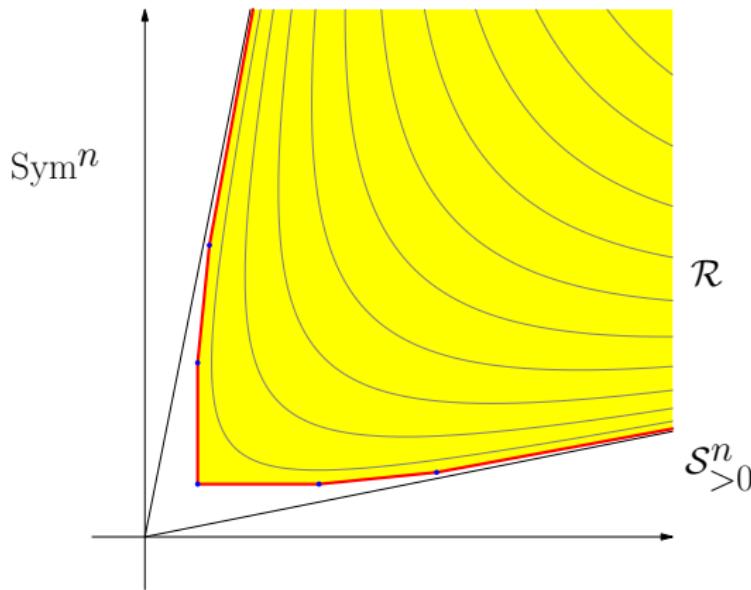
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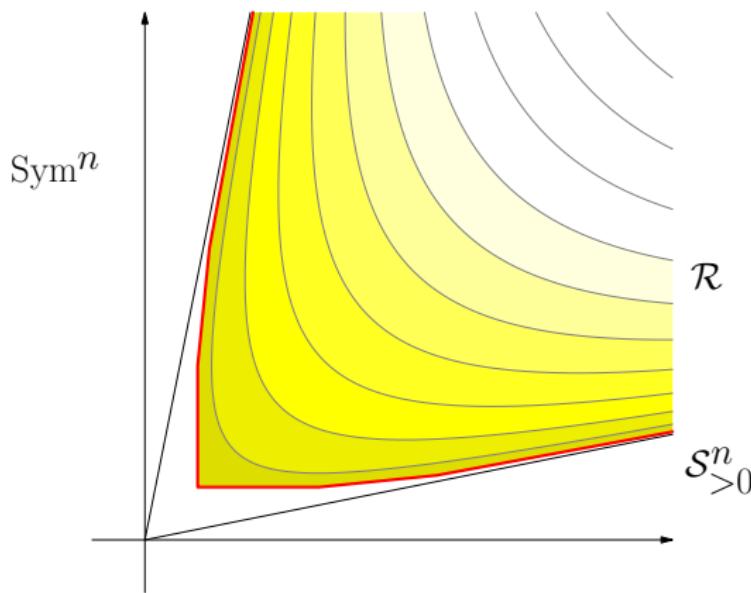
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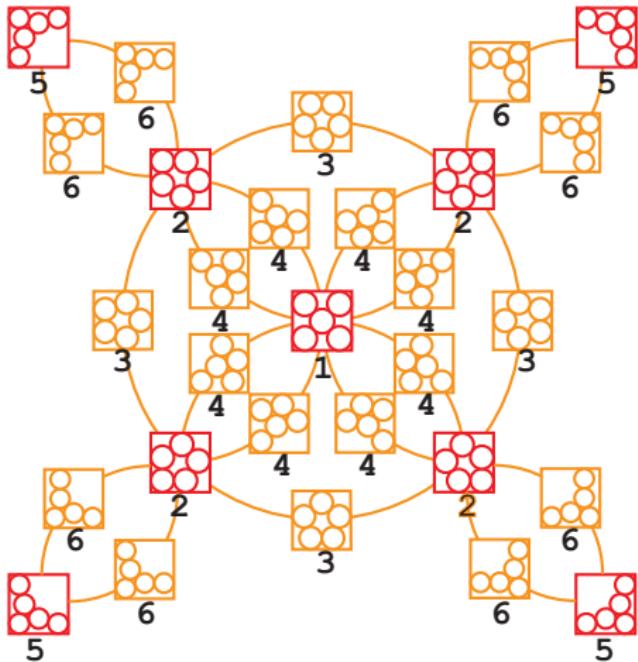
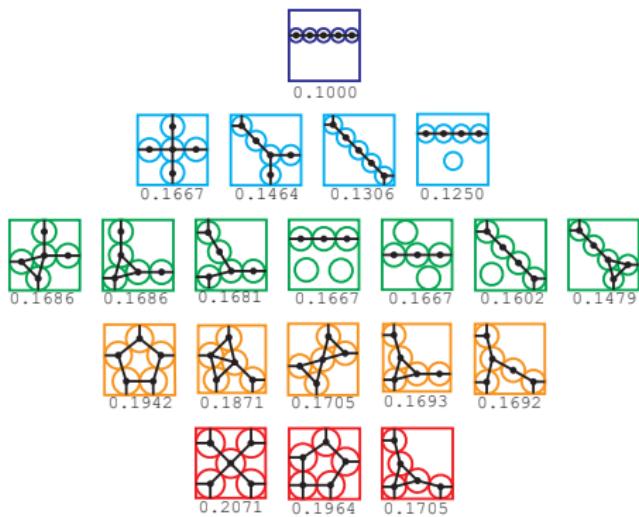


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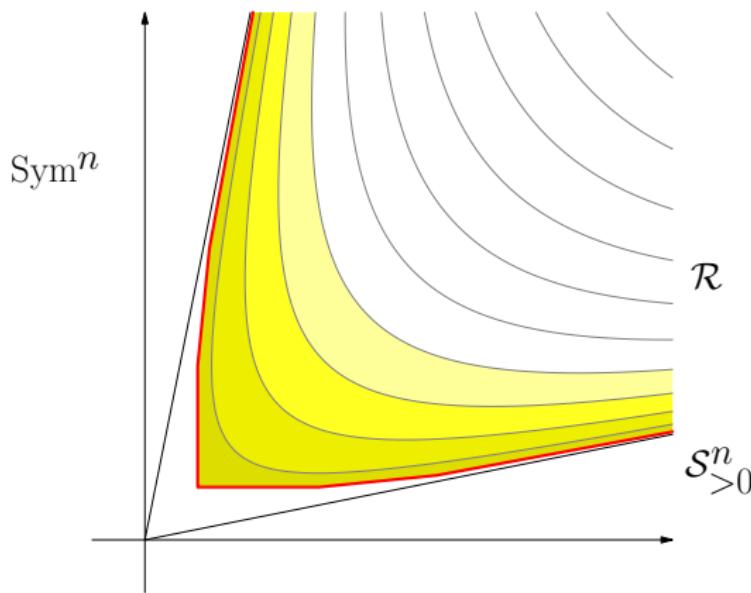
# The topological theory of phase transitions



Carlsson, Gorham, Kahle, & Mason, Phys. Rev. E 85, 011303 (2012)

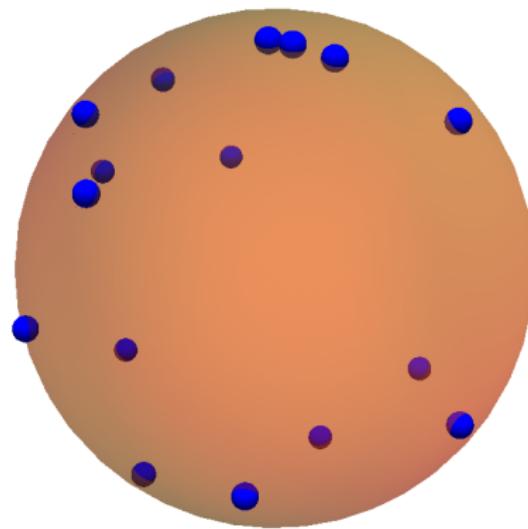
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# The “simplest model of jamming”

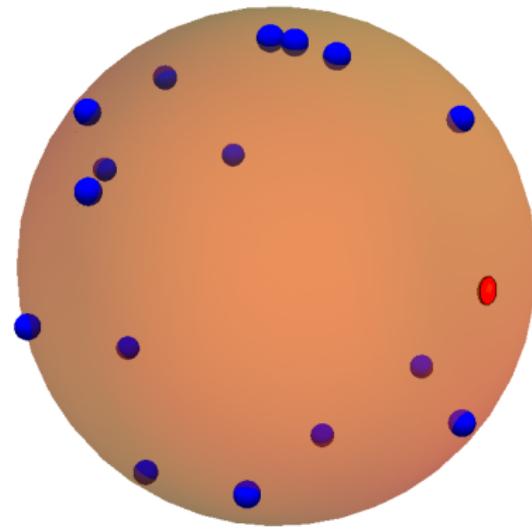
Place  $m = \alpha n$  points randomly on the  $(n - 1)$ -sphere, and try to find the point farthest from all of these.



Franz & Parisi, arXiv:1501.03397

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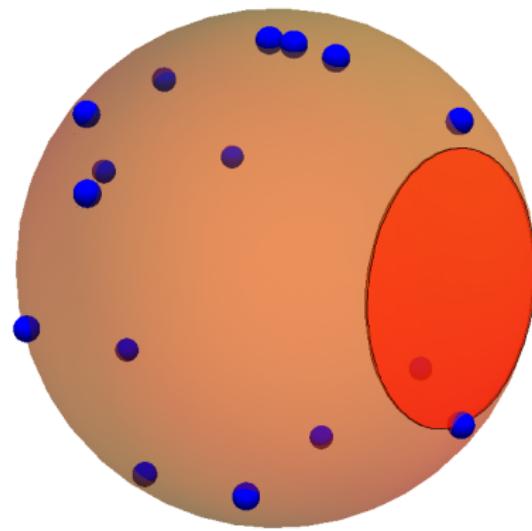
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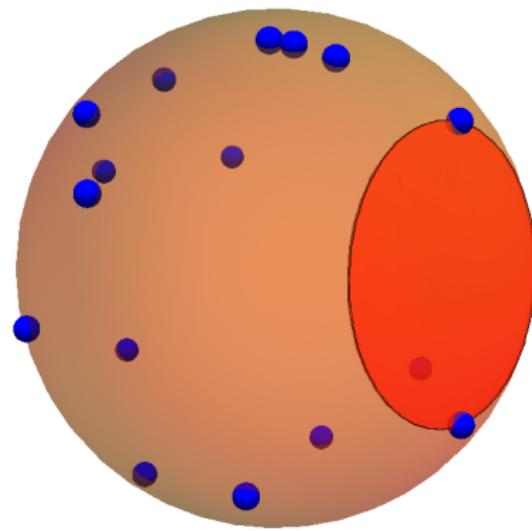
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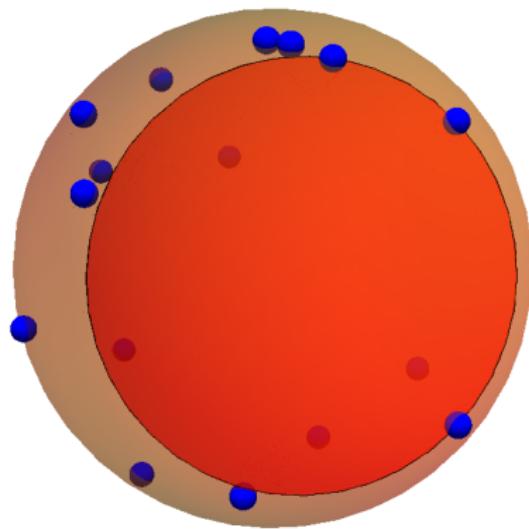
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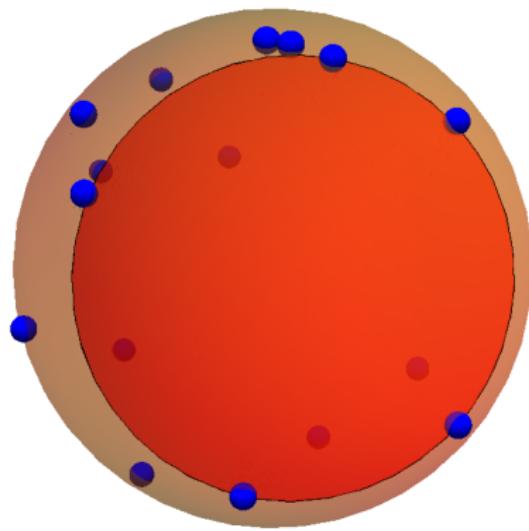
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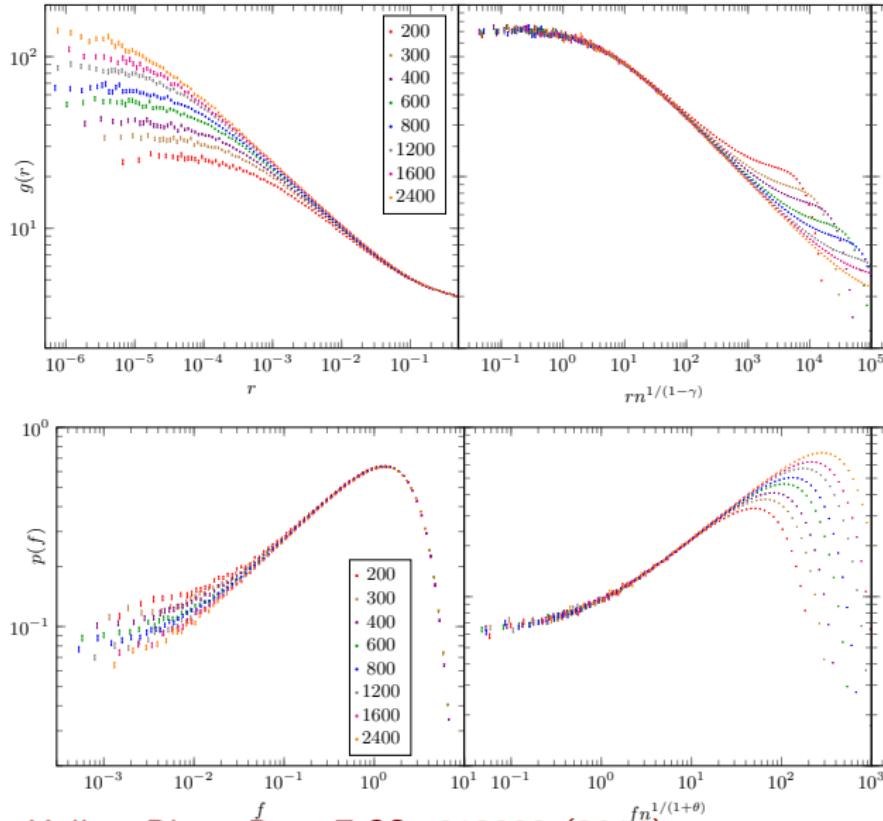
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Same universality class as (off-lattice) sphere packing in  $d \rightarrow \infty$

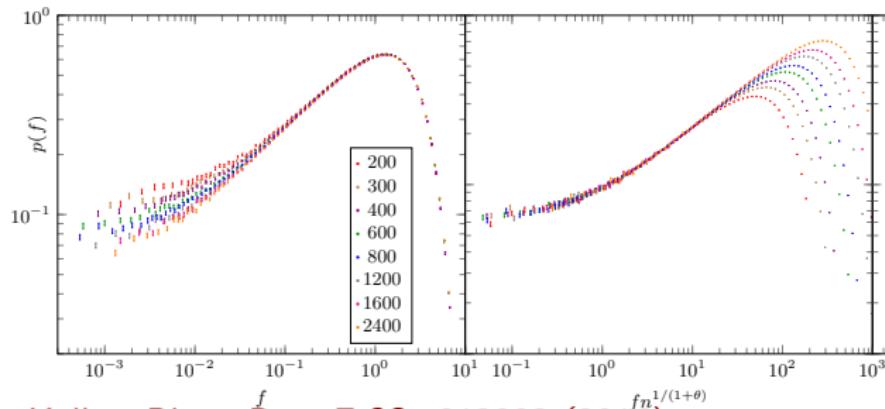
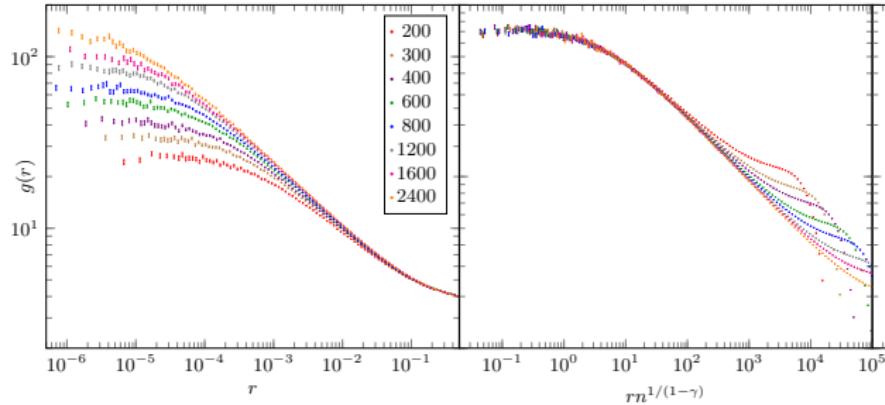
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# Finite-size scaling



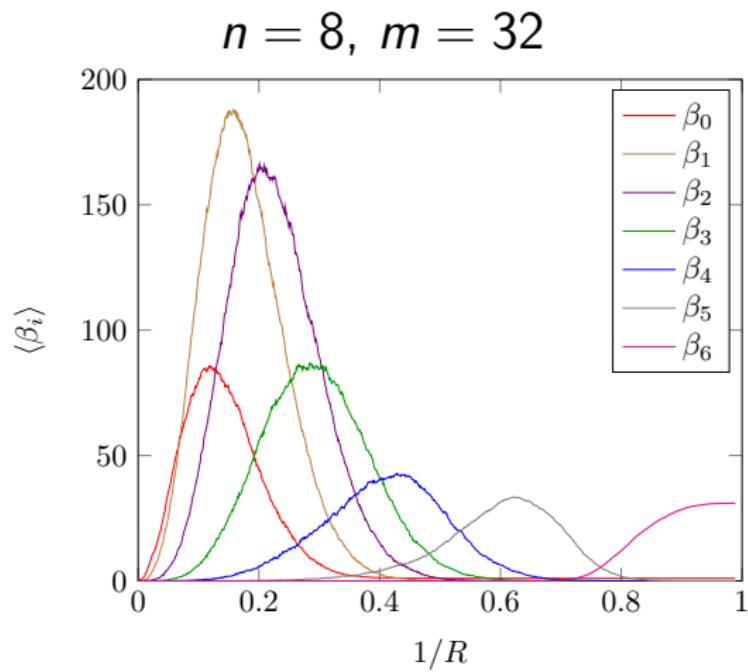
Kallus, Phys. Rev. E 93, 012902 (2016)

# Finite-size scaling



Kallus, Phys. Rev. E 93, 012902 (2016)

# Computational topology



# Conclusions

## Lattice sphere packing

- random packings have high density
- no quenched disorder

## The “simplest” model

- same universality class as off-lattice packing

- almost linear (minimizing a concave objective over a convex polytope)
- nice framework to study: topology, isostaticity, marginal stability, finite-size scaling