

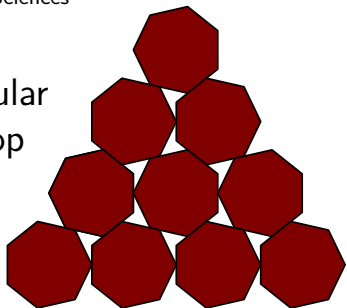


Worst Packing Shapes

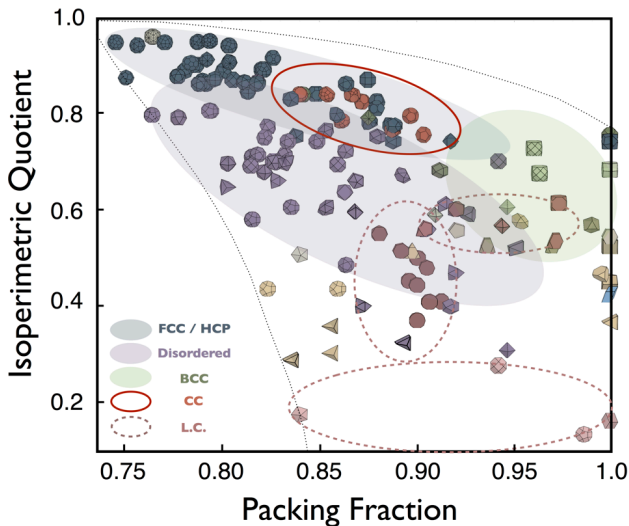
Yoav Kallus

Princeton Center for Theoretical Sciences
Princeton University

Northeastern Granular
Materials Workshop
June 7, 2013



Packing non-spherical shapes



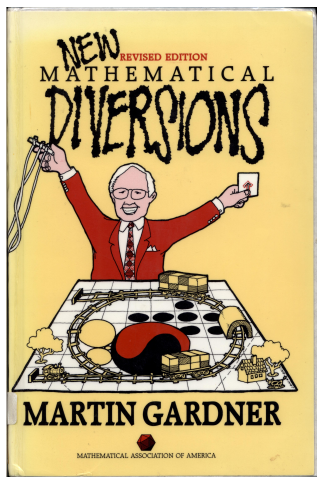
Damasceno, Engel, and Glotzer, 2012, unpublished.

The Miser's Problem

A miser is required by a contract to deliver a chest filled with gold bars, arranged as densely as possible. The bars must be identical, convex, and much smaller than the chest. What shape of bar should the miser cast so as to part with as little gold as possible?



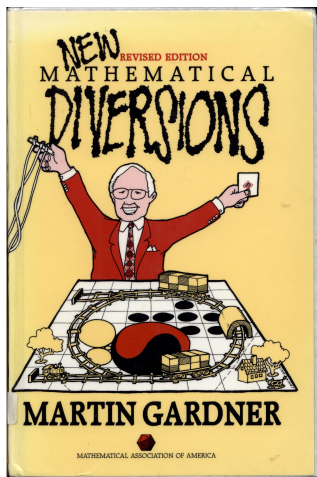
Ulam's Conjecture



“Stanislaw Ulam told me in 1972 that he suspected the sphere was the worst case of dense packing of identical convex solids, but that this would be difficult to prove.”

1995 postscript to the column “Packing Spheres”

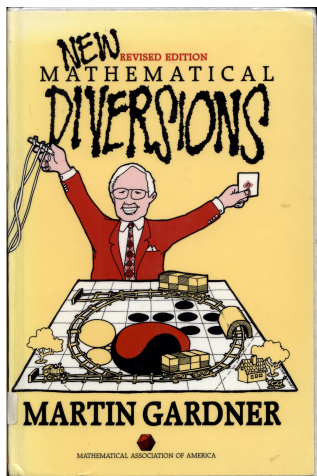
Ulam's Last Conjecture



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Ulam's Last Conjecture

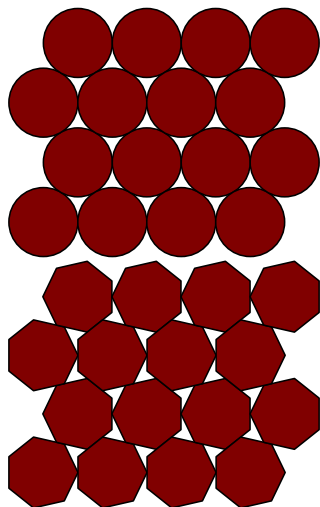


“Stanislaw Ulam told me in 1972 that he suspected the sphere was the worst case of dense packing of identical convex solids, but that this would be difficult to prove.”

Naive motivation: sphere is the least free solid (three degrees of freedom vs. six for most solids).

1995 postscript to the column “Packing Spheres”

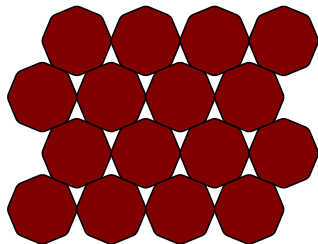
In 2D disks are not worst



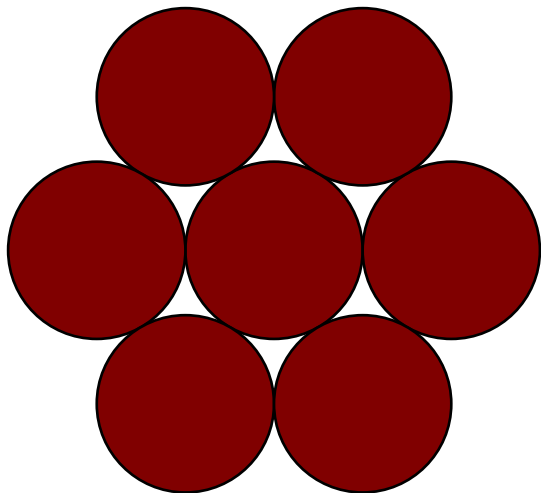
0.9069

0.9024

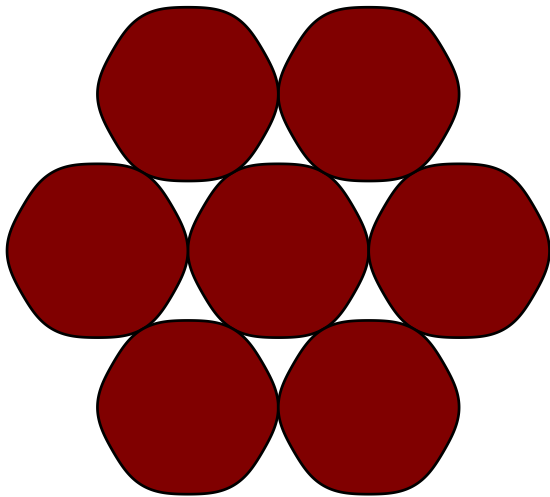
0.8926(?)



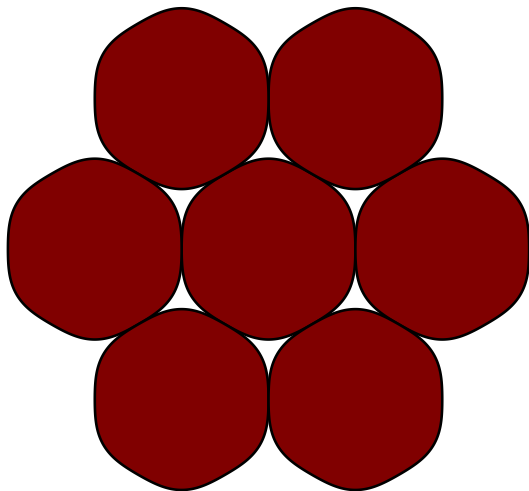
Why can we improve over circles?



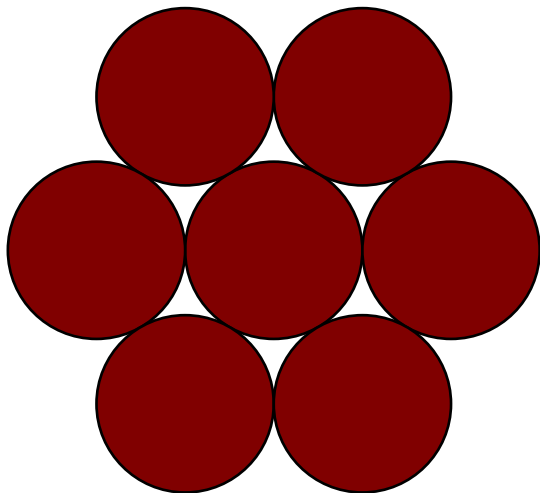
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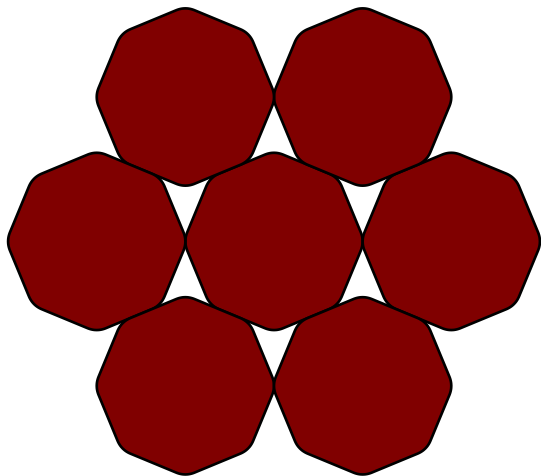
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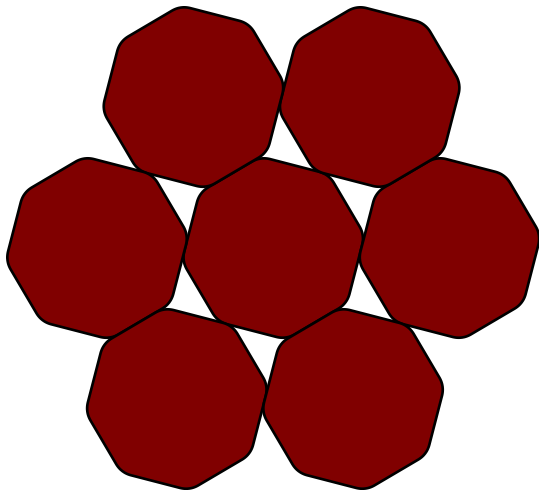
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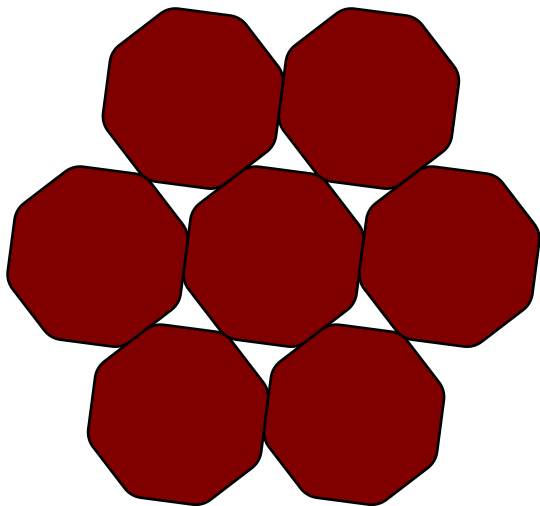
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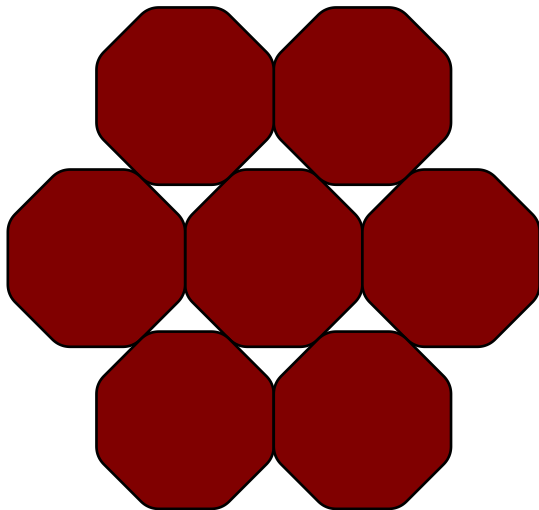
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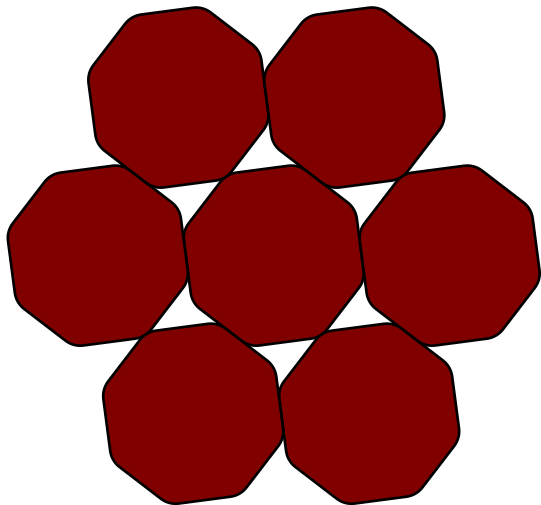
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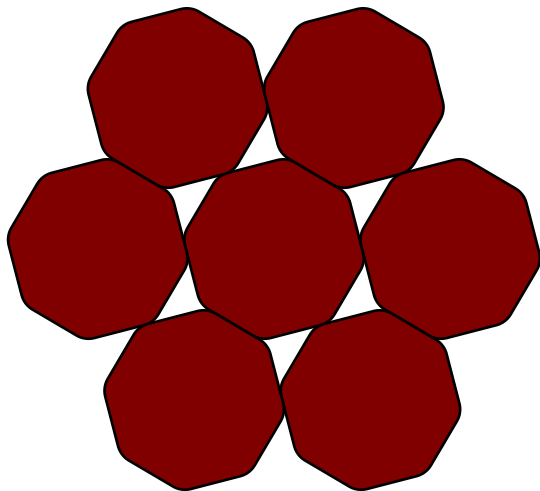
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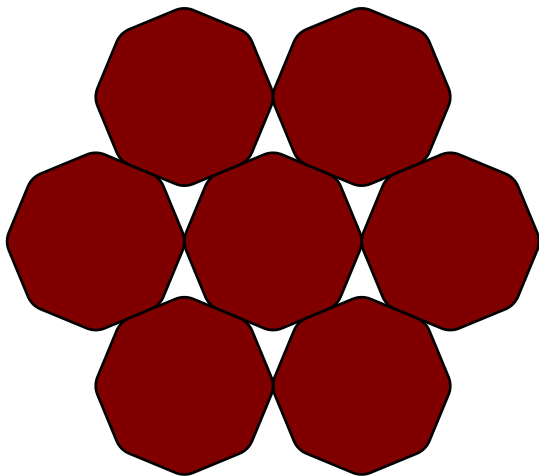
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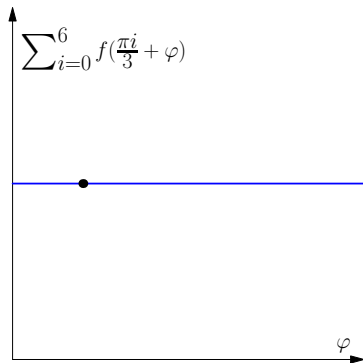
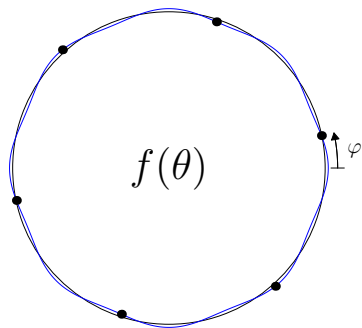
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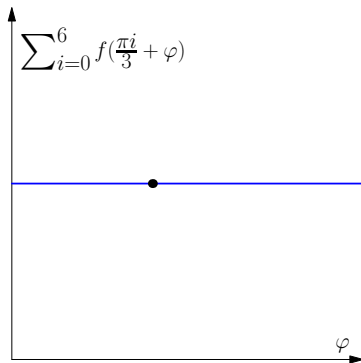
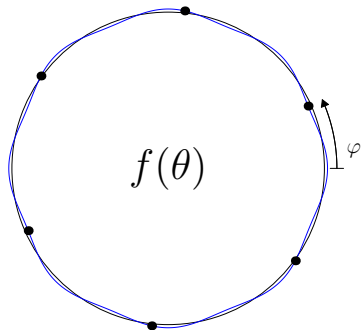
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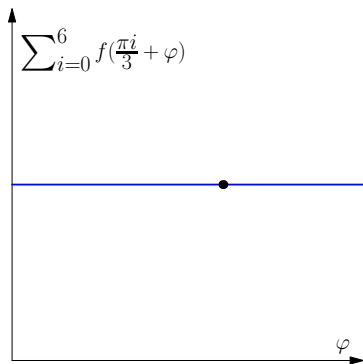
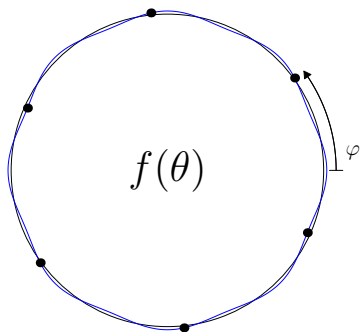
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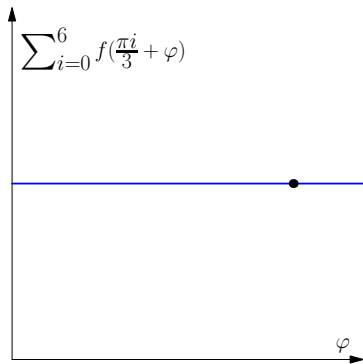
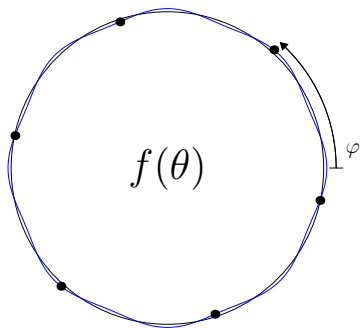
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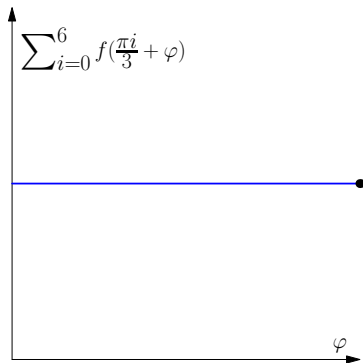
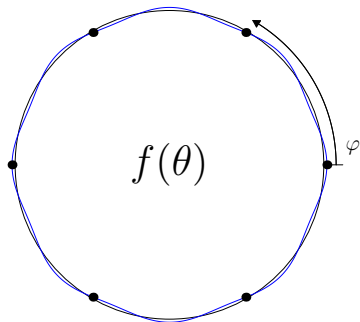
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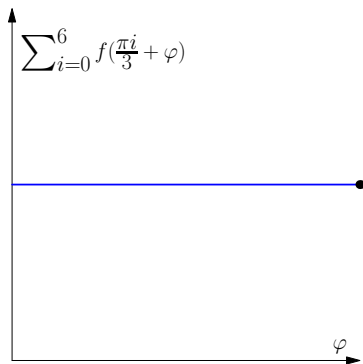
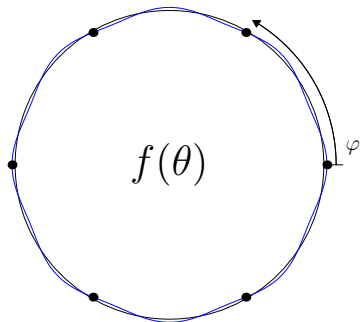
Why can we improve over circles?



Why can we improve over circles?

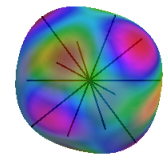
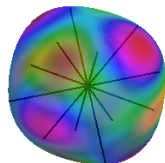
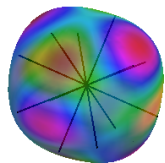


Why can we improve over circles?



$$f(\theta) = 1 + \epsilon \cos(8\theta)$$

Why can we not improve over spheres?



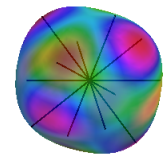
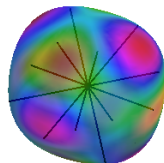
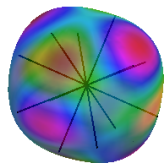
Lemma

Let f be an even function $S^2 \rightarrow \mathbb{R}$.

$\sum_{i=1}^{12} f(R\mathbf{x}_i)$ is independent of R if and only if the expansion of $f(\mathbf{x})$ in spherical harmonics terminates at $l = 2$.

YK and F. Nazarov, [arXiv:1212.2551](https://arxiv.org/abs/1212.2551)

Why can we not improve over spheres?



Lemma

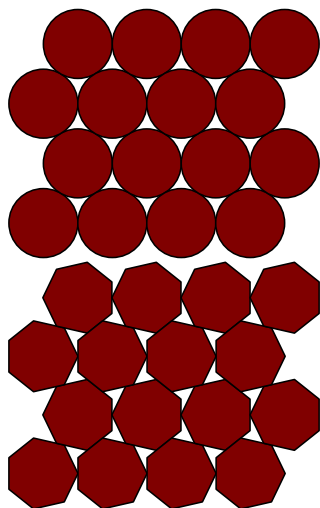
Let f be an even function $S^2 \rightarrow \mathbb{R}$.
 $\sum_{i=1}^{12} f(R\mathbf{x}_i)$ is independent of R if and only if the expansion of $f(\mathbf{x})$ in spherical harmonics terminates at $l = 2$.

Theorem (YK, F. Nazarov)

The sphere is a local minimum of the optimal packing fraction among convex, centrally symmetric bodies.

YK and F. Nazarov, [arXiv:1212.2551](https://arxiv.org/abs/1212.2551)

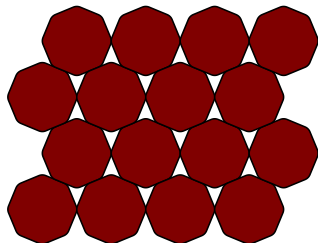
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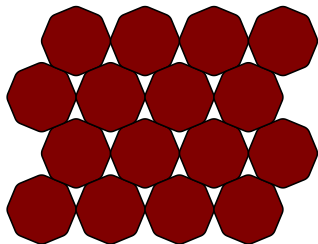
0.9069

0.9024

0.8926(?)



Reinhardt's conjecture



0.9024

Conjecture (K. Reinhardt, 1934)

The smoothed octagon is an absolute minimum of the optimal packing fraction among convex, centrally symmetric bodies.

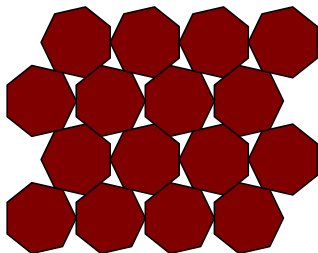
Theorem (F. Nazarov, 1986)

The smoothed octagon is a local minimum.

K. Reinhardt, Abh. Math. Sem., Hamburg, Hansische Universität, Hamburg 10 (1934), 216

F. Nazarov, J. Soviet Math. 43 (1988), 2687

Regular heptagon is locally worst packing



0.8926(?)

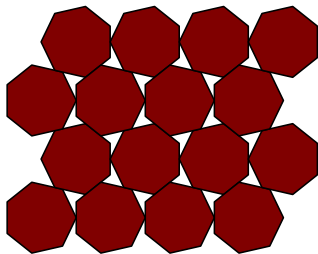
Theorem (YK)

Any convex body sufficiently close to the regular heptagon can be packed at a filling fraction at least that of the “double lattice” packing of regular heptagons.

Note: it is not proven, but highly likely, that the “double lattice” packing is the densest packing of regular heptagons.

YK, [arXiv:1305.0289](https://arxiv.org/abs/1305.0289)

Regular heptagon is locally worst packing



0.8926(?)

Theorem (YK)

Any convex body sufficiently close to the regular heptagon can be packed at a filling fraction at least that of the “double lattice” packing of regular heptagons.

Conjecture

The regular heptagon is an absolute minimum of the optimal packing fraction among convex bodies.

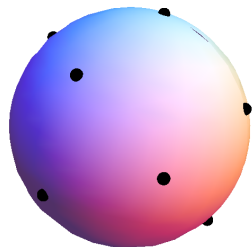
YK, [arXiv:1305.0289](https://arxiv.org/abs/1305.0289)

Summary of new results

- In $d = 2$, the heptagon is a local minimum of the optimal packing fraction, assuming the “double lattice” packing of heptagons is their densest packing. The disk is not a local minimum.
- In $d = 3$, the ball is a local minimum among centrally symmetric bodies.
- In higher dimensions, at least with respect to Bravais lattice packing of centrally symmetric bodies, the ball is not a local minimum.

Backup slides follow

Extreme Lattices

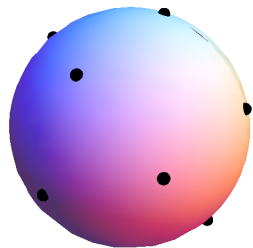


Contact points
 $S(\Lambda)$ of the
optimal lattice.

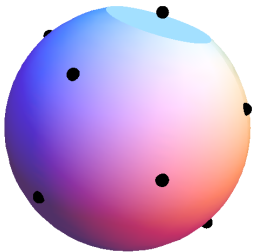
A lattice Λ is *extreme* if and only if
 $\|T\mathbf{x}\| \geq \|\mathbf{x}\|$ for all $\mathbf{x} \in S(\Lambda) \implies$
 $\det T > 1$ for $T \approx 1$.

YK and F. Nazarov, arXiv:1212.2551

Extreme Lattices



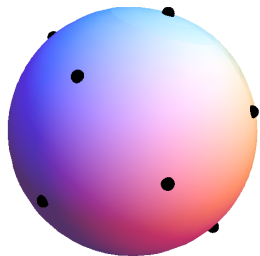
A lattice Λ is *extreme* if and only if $\|T\mathbf{x}\| \geq \|\mathbf{x}\|$ for all $\mathbf{x} \in S(\Lambda) \implies \det T > 1$ for $T \approx 1$.



In $d = 6, 7, 8, 24$, the optimal lattice is *redundantly extreme*, and so the ball is *reducible*.

YK and F. Nazarov, [arXiv:1212.2551](https://arxiv.org/abs/1212.2551)

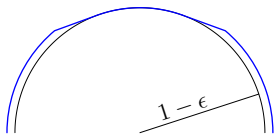
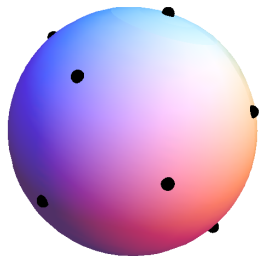
$d = 4$ and $d = 5$



In $d = 4, 5$, if $\|T\mathbf{x}\| \geq \|\mathbf{x}\|$ for all $\mathbf{x} \in S(\Lambda) \setminus \{\mathbf{x}_0\}$, and $\|T\mathbf{x}_0\| > (1 - \epsilon)\|\mathbf{x}_0\|$, then $1 - \det T < C\epsilon^2$ (compared with $C\epsilon$ for $d = 2, 3$).

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$$\begin{aligned}(\rho(K) - \rho(B))/\rho(B) &\sim \epsilon^2 \\(V(B) - V(K))/V(B) &\sim \epsilon\end{aligned}$$

The ball is not a local minimum of the optimal packing fraction.

YK and F. Nazarov, [arXiv:1212.2551](https://arxiv.org/abs/1212.2551)